



# YOUR MONEY. YOUR FUTURE. YOUR WAY.

P.O. Box 3587, Albuquerque, NM 87190 • Toll Free: 1-800-529-3951 • Local: 505-514-0539 • Fax: 505-792-6096 • help@specializediraserivices.com

## INDIVIDUAL 401(k) FEE SCHEDULE

### Initial Non-Refundable Set-Up Fee \$195.00

SERVICE FEES	Annual
Roth Component	\$195.00
Plan Value	Annual Fee
\$0 - \$50,000	\$997
\$50,001 - \$100,000	\$1,120
\$100,001 - \$200,000	\$1,320
\$200,001 - \$400,000	\$1,495
\$400,001 - \$600,000	\$1,695
\$600,001 - \$800,000	\$1,995
\$800,001 - \$1,000,000	\$2,395
\$1,000,000 and Up	\$4,000
Annual Buy/Sell Transactions Included	4
Additional Buy or Sell Transactions	\$75 each
Spouse Participant**	\$625 per year

ADMINISTRATIVE AND REPORTING FEES	
Rerun of Contribution	\$250
Form SS4	\$125
5500 EZ	\$350
5500**	\$625
Extra Accounts	Hourly, \$100-\$150 Per Account
Discrimination Testing**	\$625
Loans	\$175 plus \$100/Year
5500 Extension	\$175
Distribution/Hardship	\$125
QDRO	Hourly, \$250 - \$500
1099R	\$155
945	\$125
Questions and Account Research	Hourly
Electronic Report	\$135
Additional Consulting	\$235 per hour
Plan Amendments	\$375

\*\* Spousal participants will receive the same benefits as the account holder per the template or plan design.

## OTHER SERVICE FEES

Individual 401k Concierge Activation\* (recommended) .....\$225  
 Specialized Black .....\$325 per year  
 Regular Check Fee.....\$5  
 Cashiers Check ..... \$75 (includes priority mail)  
 Express Investment Processing Fee (one business day) ..... \$125  
 Wire In/Out Fee.....\$55 each  
 Overnight Mail Fee .....\$65  
 Stop Payment (within 10 business days) .....\$40 each  
 Returned Check & Wire Fee.....\$75 each

The buying or selling of an asset constitutes a transaction  
 \*Concierge Activation significantly reduces your transfer time  
 Fees subject to change

Late Fee.....\$25 per 30 days  
 Plan Termination Fee.....\$595  
 Special Research Fee.....\$235 per hour  
 (this includes but is not limited to extensive research)  
 Annual Statement Fee.....\$5  
 Auto Plan Loan Repayment .....\$80 per year  
 Special Document Handling Fee.....\$5  
 ACH Declined/NSF.....\$55  
 Plan Design Consultation .....\$675  
 Asset Re-registration "Each Asset" .....\$225  
 Legal Correspondence.....\$400 per hour

Signature: \_\_\_\_\_

(must be wet/physical Signature)

Date: \_\_\_\_\_



**YOUR MONEY. YOUR FUTURE. YOUR WAY.**

**Upgrade Your Individual 401(k) Plan to "Specialized Black"**

Specialized Trust Company offers standard service to every client. For select clients we offer an exclusive upgrade; "Specialized Black."

Specialized Black offers a suite of value added services, education and training, in addition to significant cost savings by eliminating the most common service and optional fees.

	<b>Standard</b>	<b>Specialized Black</b>
Investment Buy/Sell Transactions	\$75 (after 4)	Unlimited
Document Handling Fee	\$5	Included
Check Fee	\$5	Included
Wire In/Out Fee	\$55	5 Free Included
Annual Paper Statement Fee	\$5	Included
Priority Investment	Not Available	Included
Processing Overnight Mail	\$65 Per Item	4 Free Included
VIP Seating at Specialized Events	Not Available	Included
10% Discount on Educational Products	Not Available	Included

***Specialized Black is offered for only \$325 per year!***

**Standard**

Offers standard service, affordable pricing and is the industry's best in class self-directed IRA solution.

**"Specialized Black"**

For those clients who desire and recognize value and want the most value for the fees paid. It is ideal for those clients who don't want their accounts charged transaction fees to keep the most of your money working for you.

**The best way to pay your fees**

You can pay your IRA fees outside of your account using a check or credit card. This allows you to invest 100% of your retirement account growing it exponentially faster.